UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT

FILED

FEB 21 2017

MOLLY C. DWYER, CLERK U.S. COURT OF APPEALS

U.S. SECURITIES & EXCHANGE COMMISSION,

Plaintiff - Appellee,

V.

MARK F. SPANGLER,

Defendant - Appellant.

No. 14-36023

D.C. No. 2:12-cv-00856-RSM U.S. District Court for Western Washington, Seattle

MANDATE

The judgment of this Court, entered December 22, 2016, takes effect this date.

This constitutes the formal mandate of this Court issued pursuant to Rule 41(a) of the Federal Rules of Appellate Procedure.

FOR THE COURT:

MOLLY C. DWYER CLERK OF COURT

By: Synitha Walker Deputy Clerk Ninth Circuit Rule 27-7